

بسم الله الرحمن الرحيم

فصل اول

مقدمه‌ای بر نظریه‌ی محاسبات (۱)

An Introduction to the Theory of Computation (1)

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دانشکده‌ی مهندسی برق و کامپیوتر

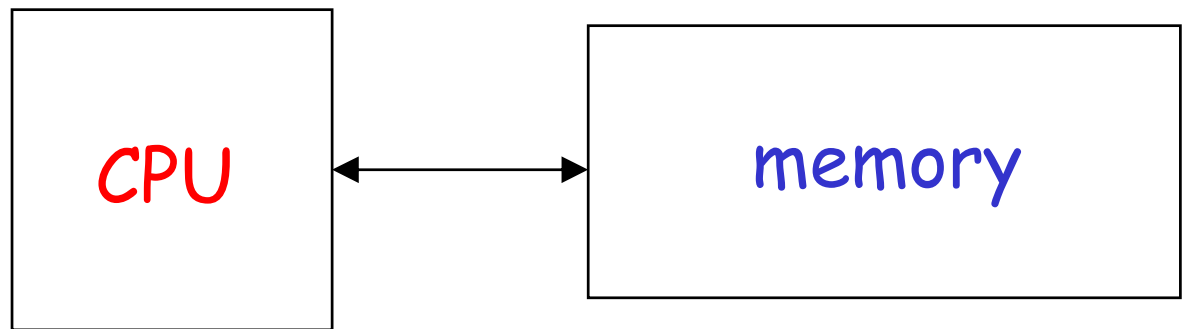
دانشگاه تهران

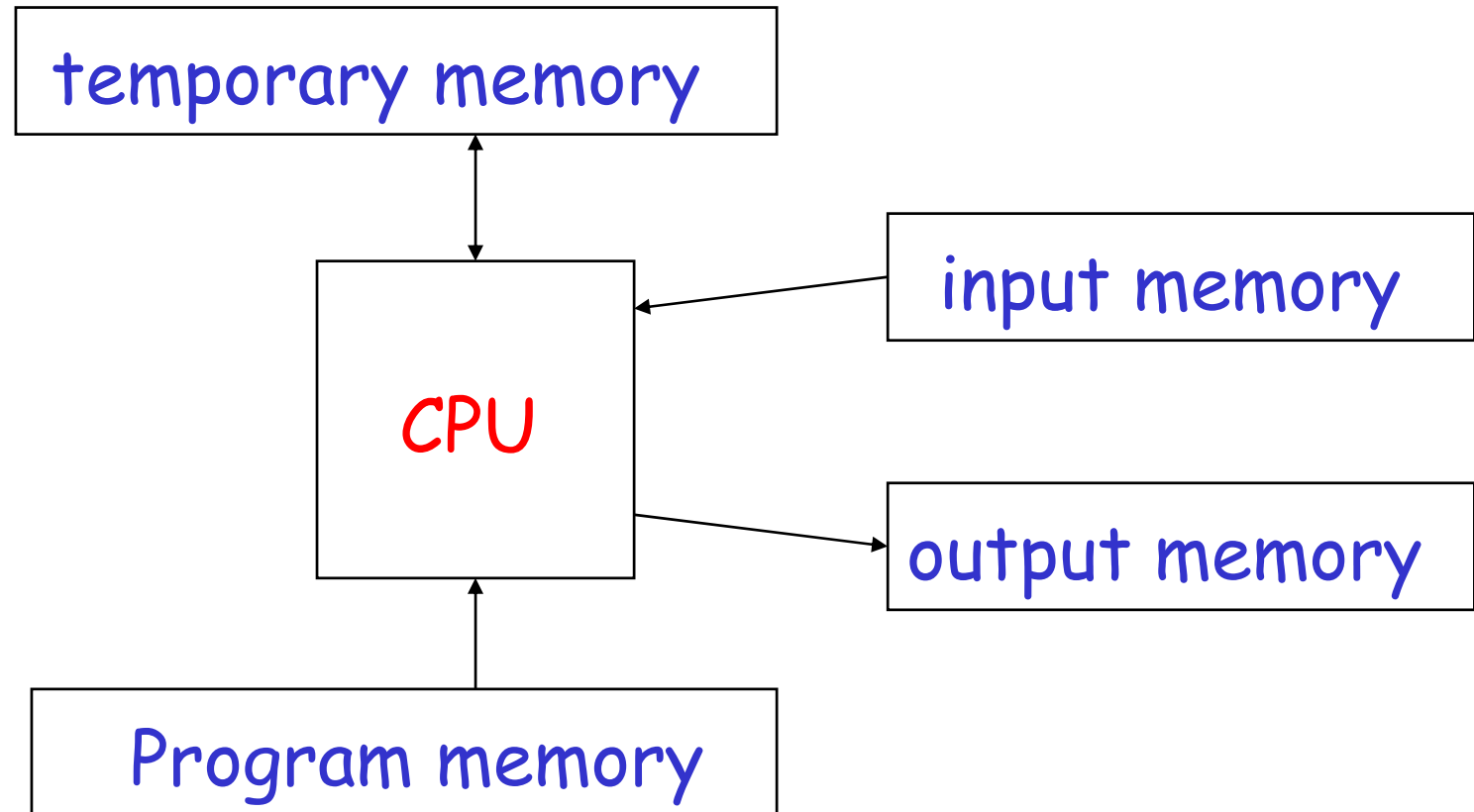


Theory of Formal Languages and Automata

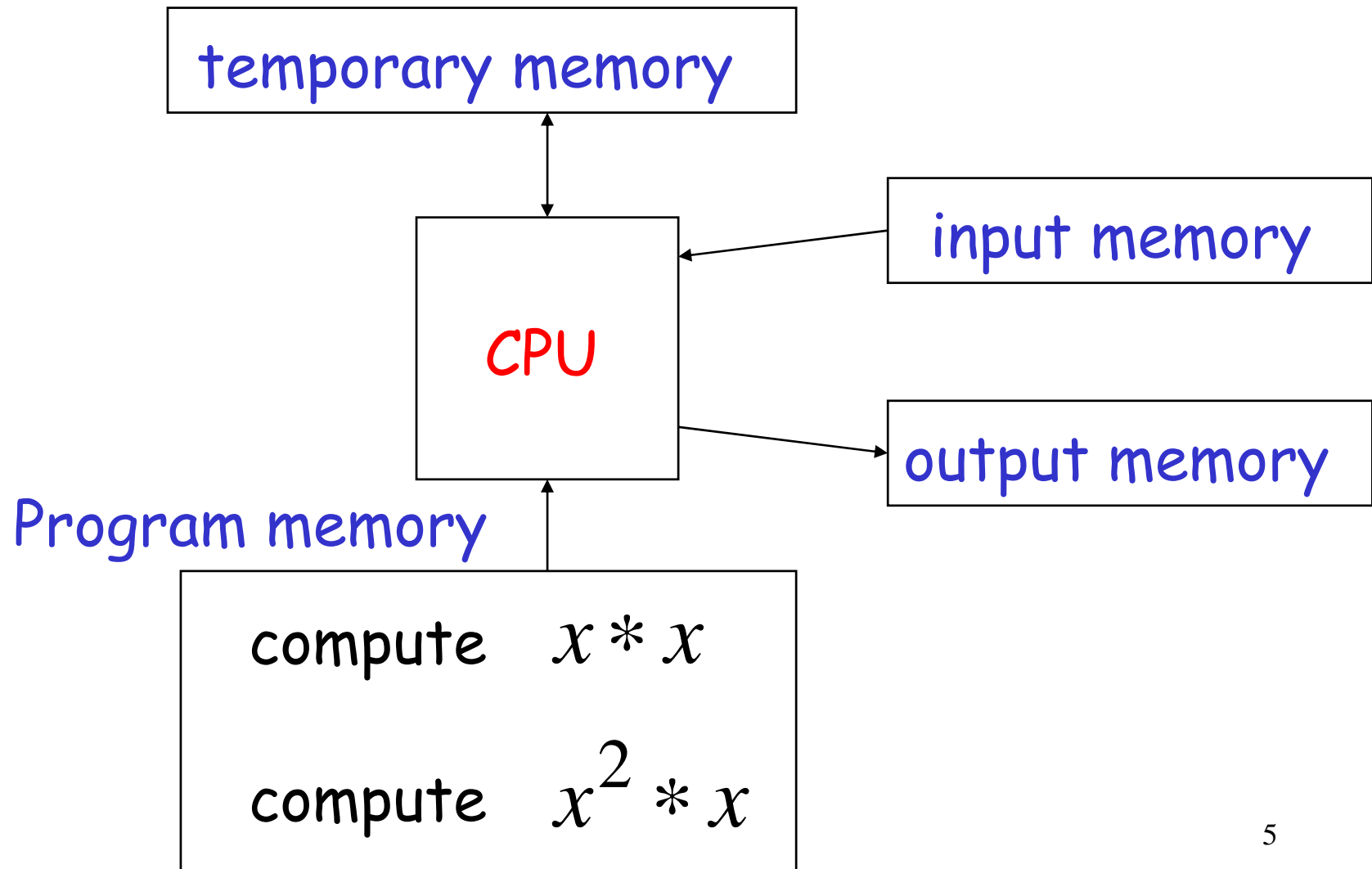
Models of Computation

Computation

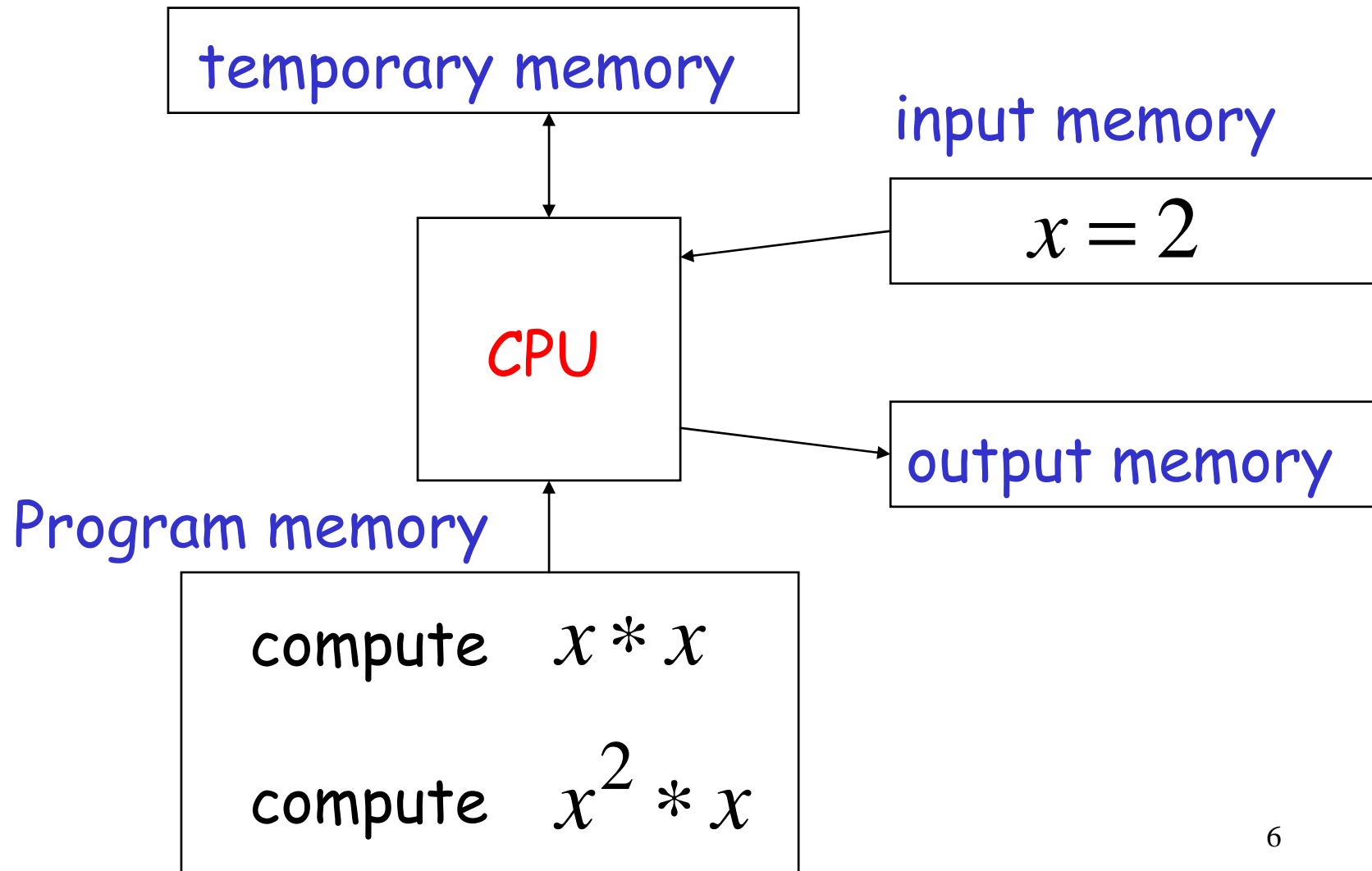




Example: $f(x) = x^3$



$$f(x) = x^3$$



$$f(x) = x^3$$

temporary memory

$$z = 2 * 2 = 4$$

$$f(x) = z * 2 = 8$$

input memory

$$x = 2$$

CPU

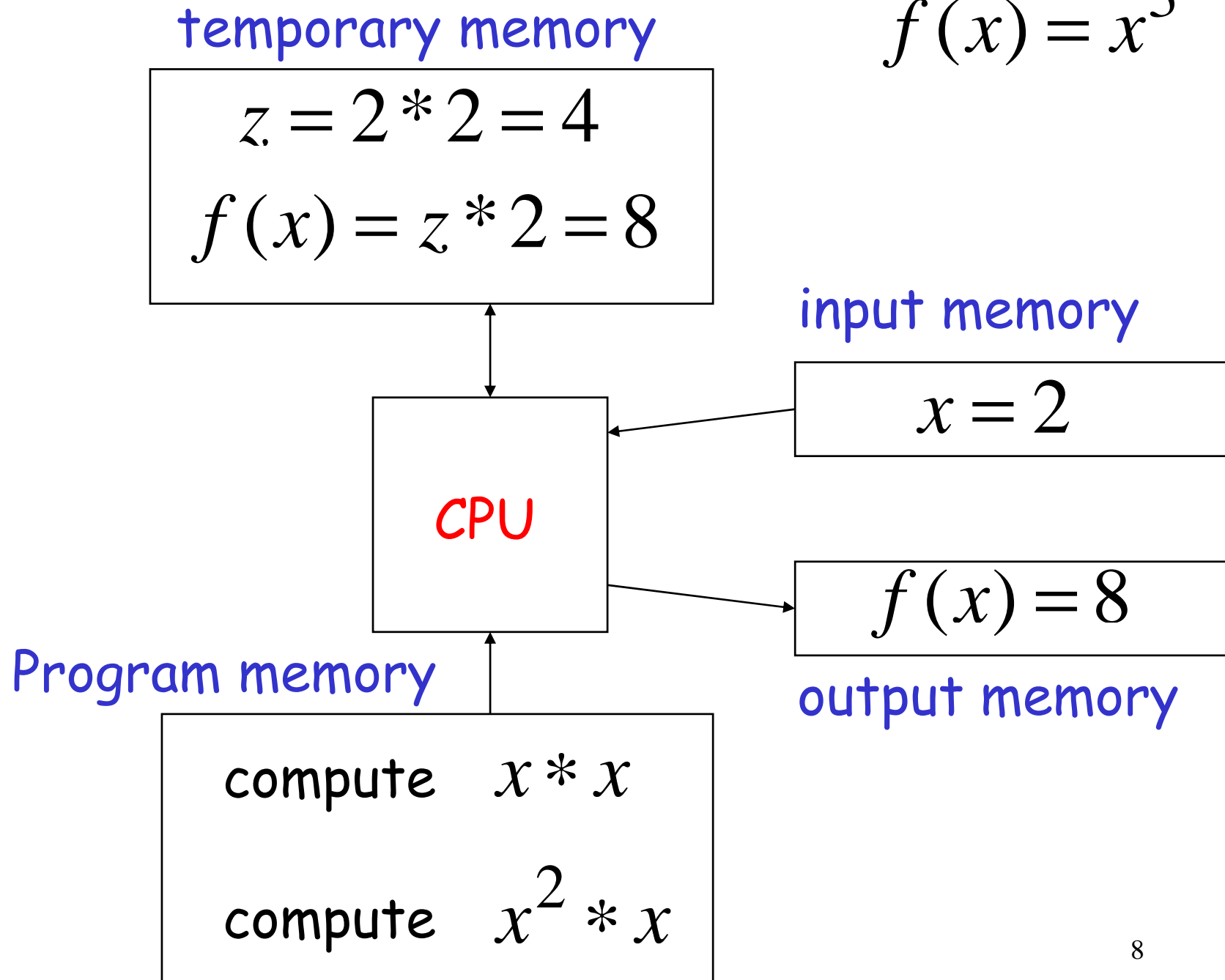
output memory

Program memory

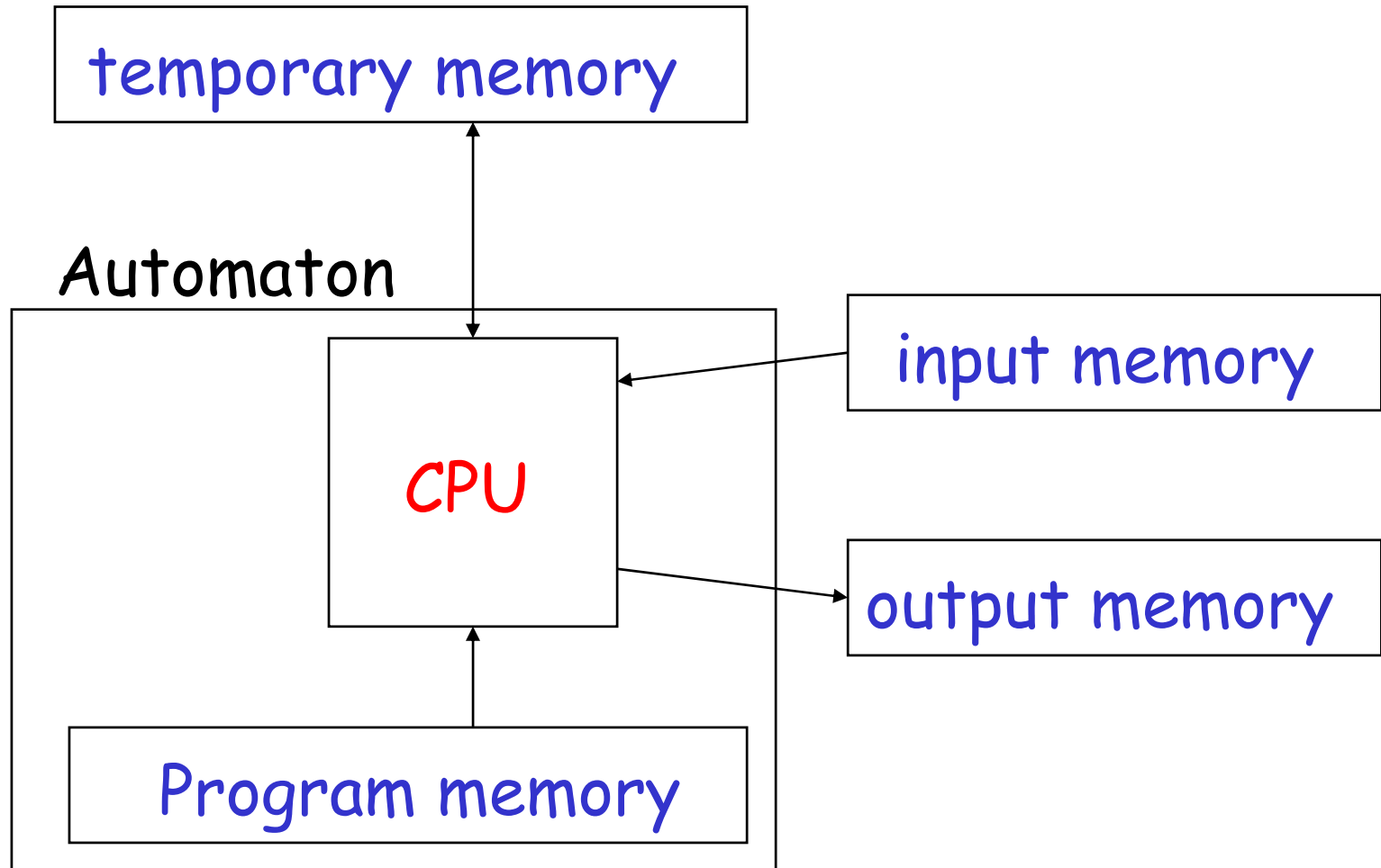
compute $x * x$

compute $x^2 * x$

$$f(x) = x^3$$



Automaton

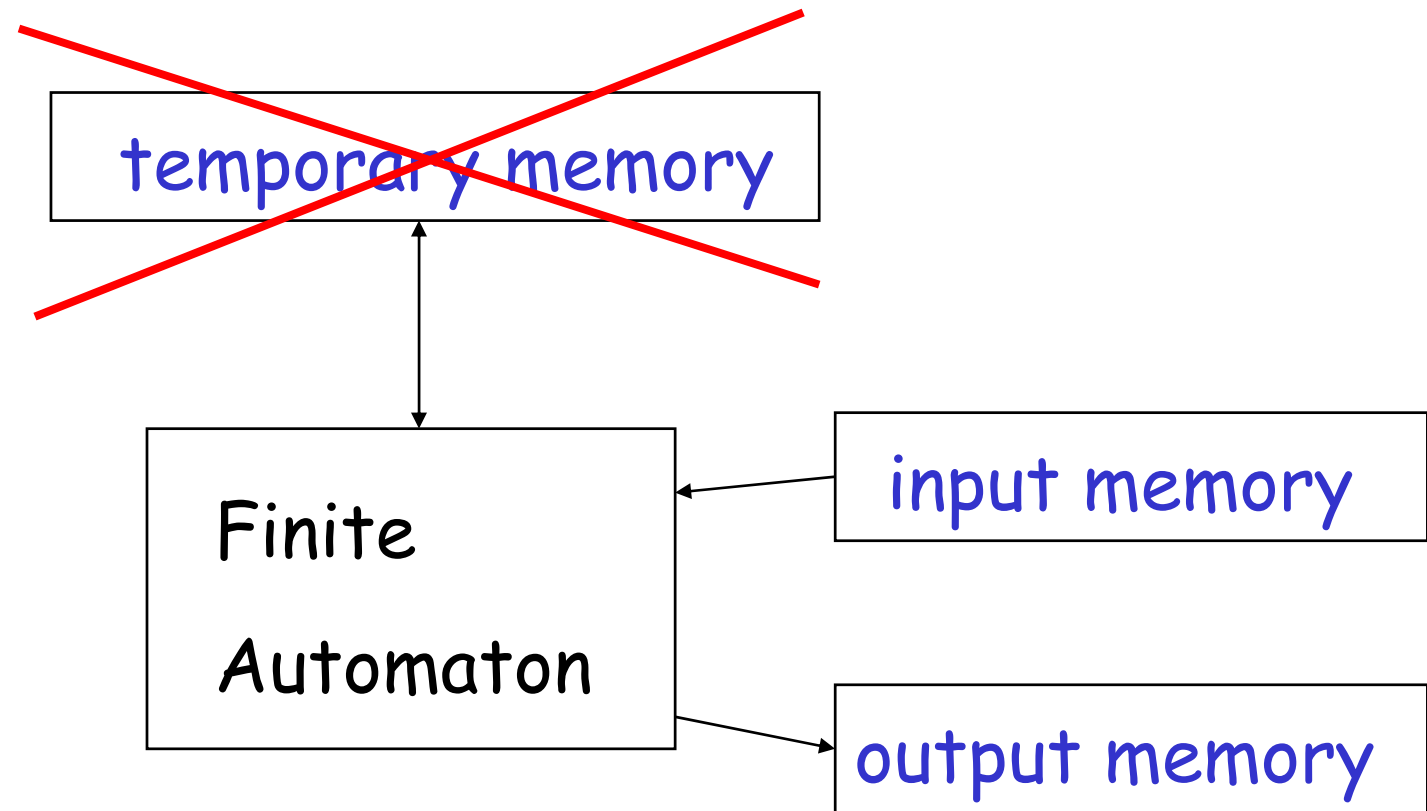


Different Kinds of Automata

Automata are distinguished by the temporary memory

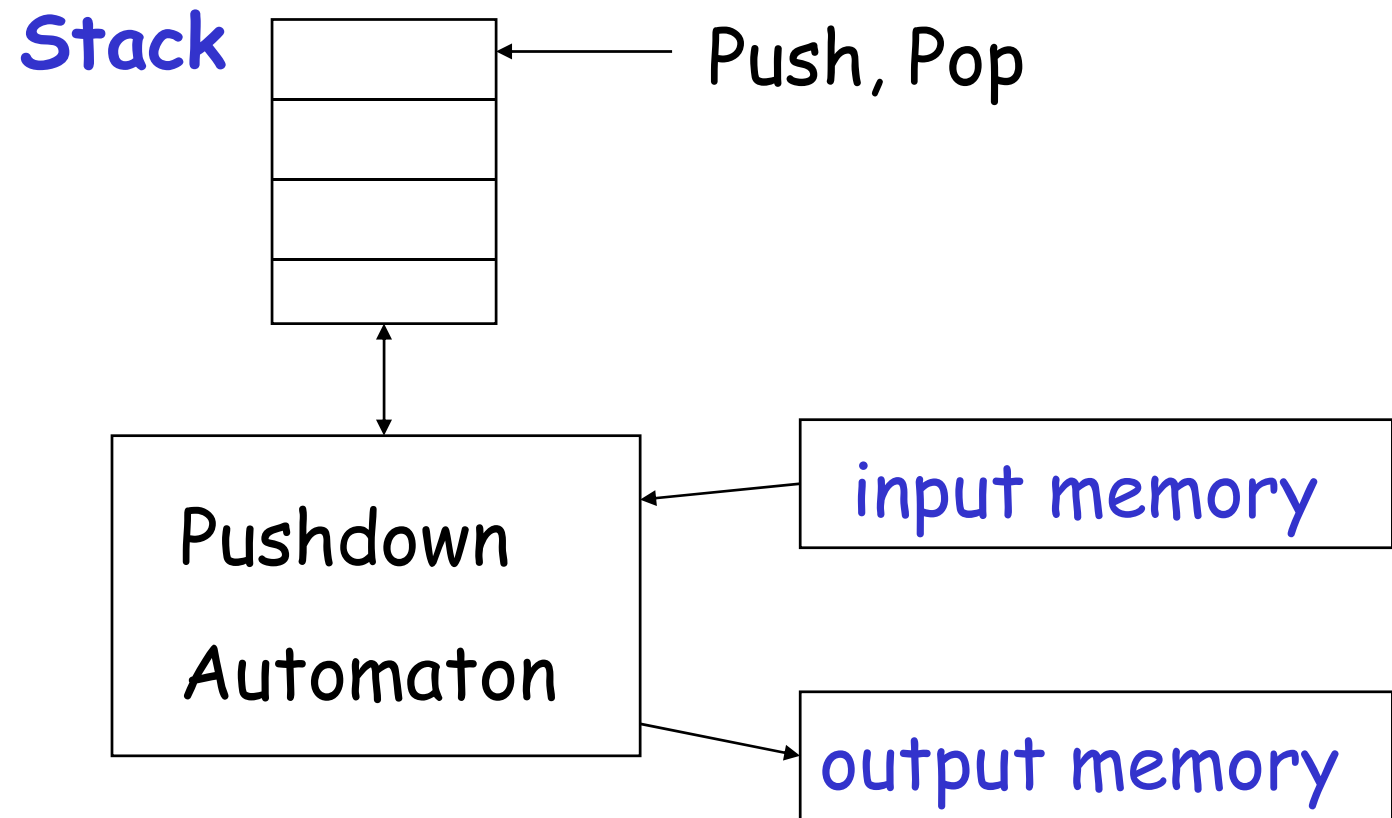
- **Finite Automata:** no temporary memory
- **Pushdown Automata:** stack
- **Turing Machines:** random access memory

Finite Automaton



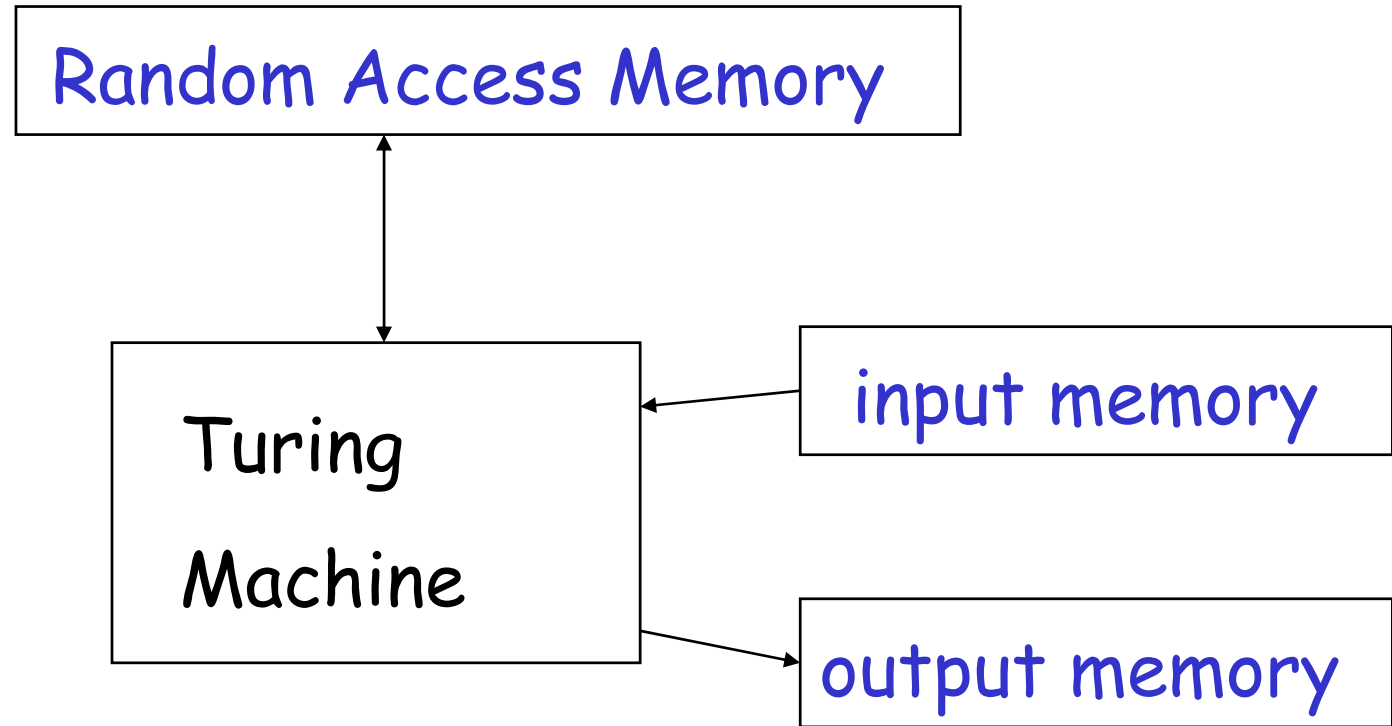
Vending Machines (small computing power)

Pushdown Automaton



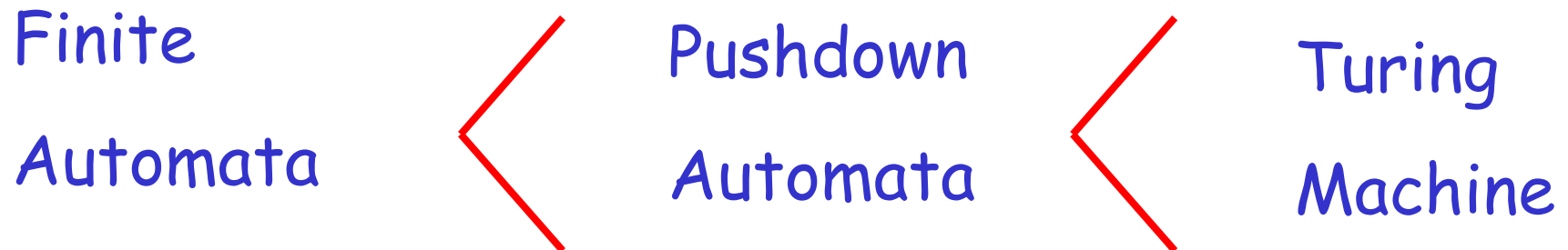
Programming Languages (medium computing power)

Turing Machine



Algorithms (highest computing power)

Power of Automata



We will show later in class

- How to build compilers for programming languages
- Some computational problems cannot be solved
- Some problems are hard to solve

Mathematical Preliminaries

Mathematical Preliminaries

- Sets
- Functions
- Relations
- Graphs
- Proof Techniques

SETS

A set is a collection of elements

$$A = \{1, 2, 3\}$$

$$B = \{train, bus, bicycle, airplane\}$$

We write

$$1 \in A$$

$$ship \notin B$$

Set Representations

$$C = \{ a, b, c, d, e, f, g, h, i, j, k \}$$

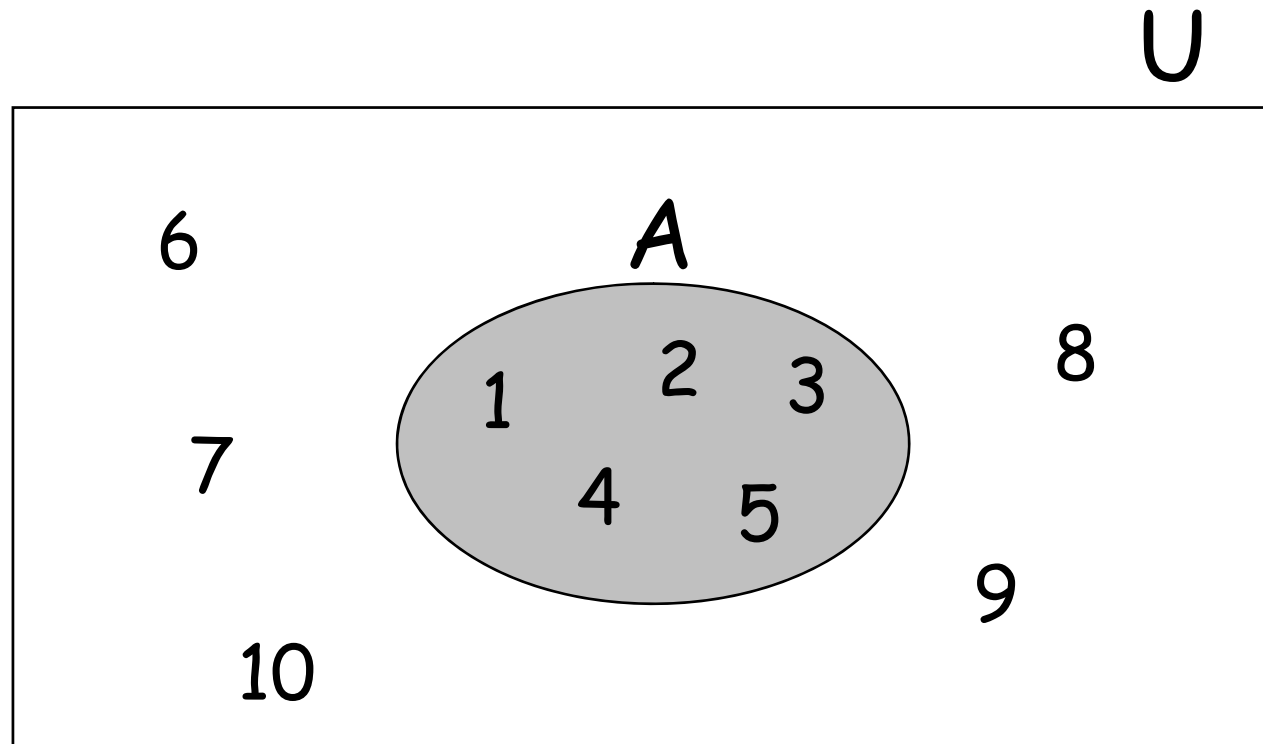
$$C = \{ a, b, \dots, k \} \longrightarrow \textit{finite set}$$

$$S = \{ 2, 4, 6, \dots \} \longrightarrow \textit{infinite set}$$

$$S = \{ j : j > 0, \text{ and } j = 2k \text{ for some } k > 0 \}$$

$$S = \{ j : j \text{ is nonnegative and even} \}$$

$$A = \{1, 2, 3, 4, 5\}$$



Universal Set: All possible elements

$$U = \{1, \dots, 10\}$$

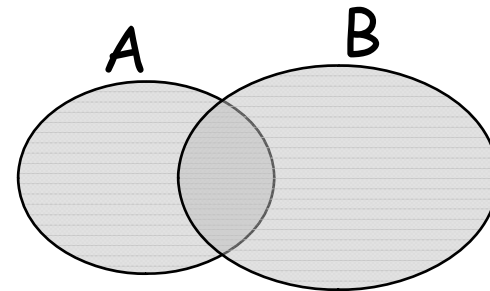
Set Operations

$$A = \{1, 2, 3\}$$

$$B = \{2, 3, 4, 5\}$$

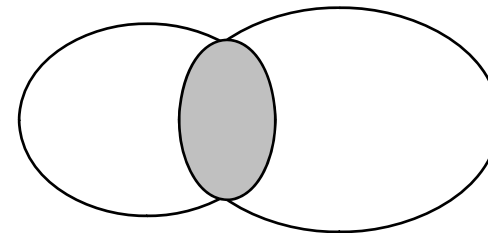
- Union

$$A \cup B = \{1, 2, 3, 4, 5\}$$



- Intersection

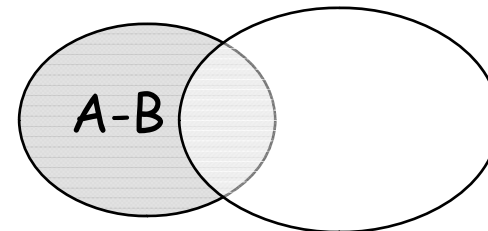
$$A \cap B = \{2, 3\}$$



- Difference

$$A - B = \{1\}$$

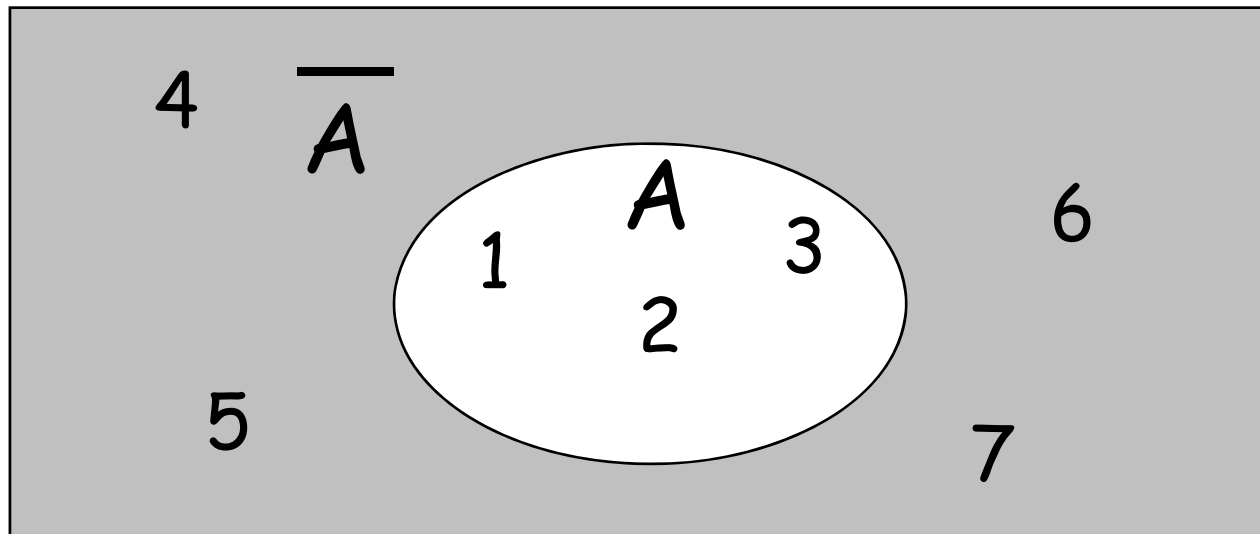
$$B - A = \{4, 5\}$$



- Complement

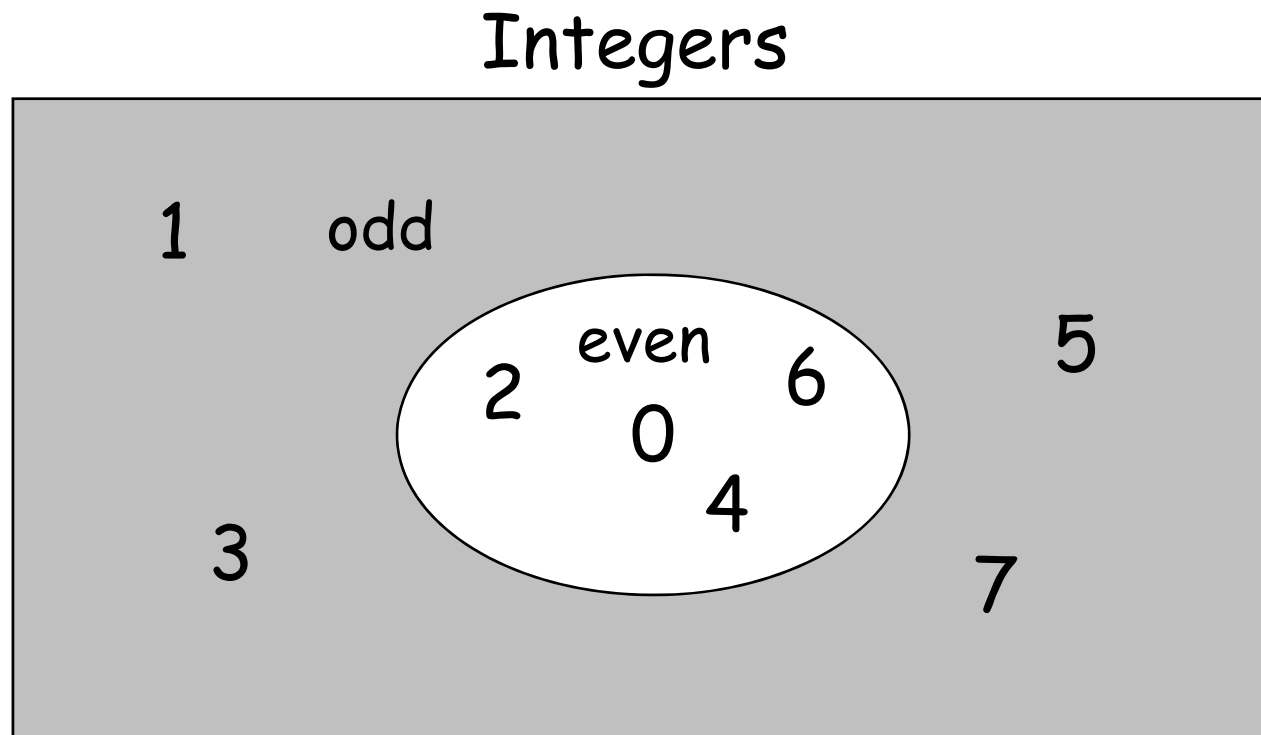
Universal set = $\{1, \dots, 7\}$

$$A = \{1, 2, 3\} \longrightarrow \overline{A} = \{4, 5, 6, 7\}$$



$$\overline{\overline{A}} = A$$

$$\{ \text{even integers} \} = \{ \text{odd integers} \}$$



DeMorgan's Laws

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$

Empty, Null Set: \emptyset

$$\emptyset = \{\}$$

$$S \cup \emptyset = S$$

$$S \cap \emptyset = \emptyset$$

$$S - \emptyset = S$$

$$\emptyset - S = \emptyset$$

$$\overline{\emptyset} = \text{Universal Set}$$

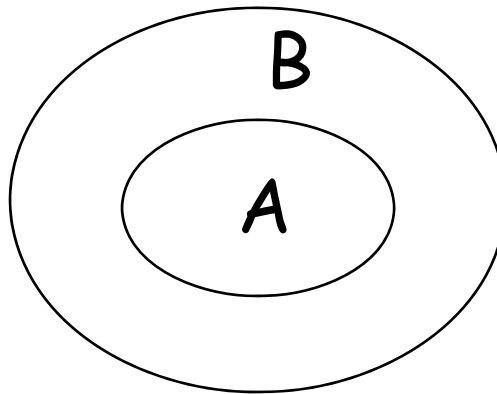
Subset

$$A = \{1, 2, 3\}$$

$$B = \{1, 2, 3, 4, 5\}$$

$$A \subseteq B$$

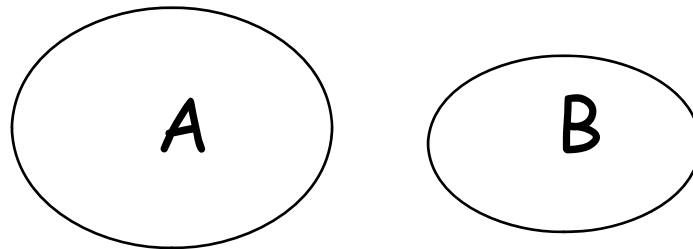
Proper Subset: $A \subset B$



Disjoint Sets

$$A = \{ 1, 2, 3 \} \quad B = \{ 5, 6 \}$$

$$A \cap B = \emptyset$$



Set Cardinality

- For finite sets

$$A = \{ 2, 5, 7 \}$$

$$|A| = 3$$

Powersets

A powerset is a set of sets

$$S = \{ a, b, c \}$$

Powerset of S = the set of all the subsets of S

$$2^S = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} \}$$

Observation: $|2^S| = 2^{|S|} \quad (8 = 2^3)$

Cartesian Product

$$A = \{ 2, 4 \}$$

$$B = \{ 2, 3, 5 \}$$

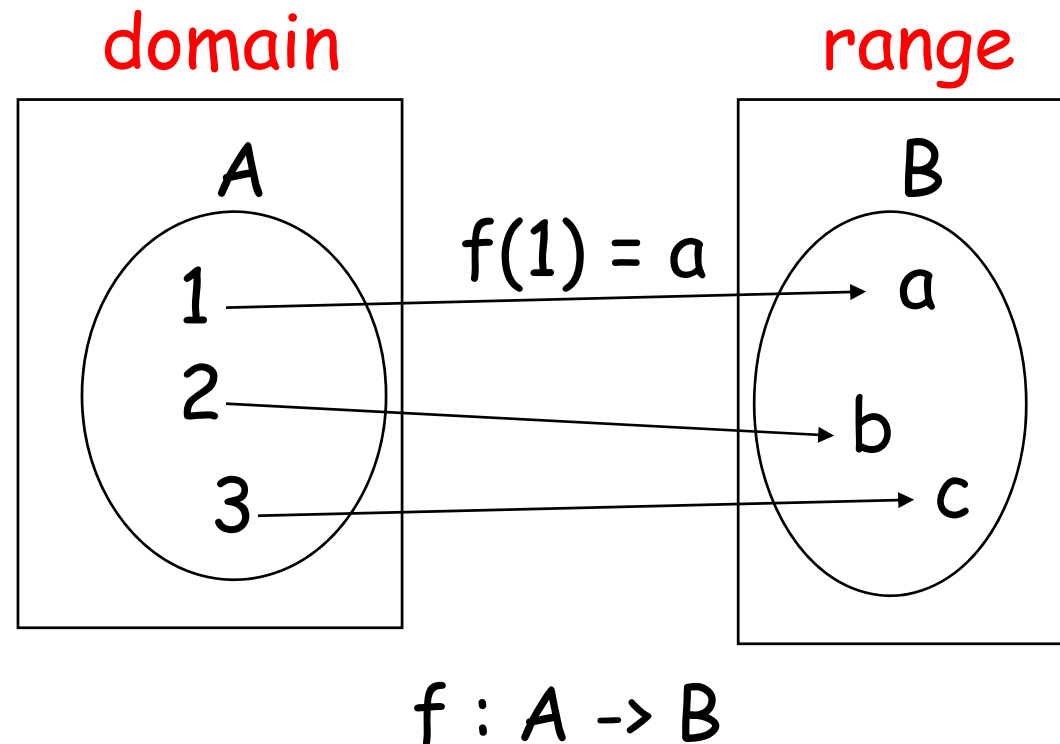
$$A \times B = \{ (2, 2), (2, 3), (2, 5), (4, 2), (4, 3), (4, 4) \}$$

$$|A \times B| = |A| |B|$$

Generalizes to more than two sets

$$A \times B \times \dots \times Z$$

FUNCTIONS



If $A = \text{domain}$

then f is a total function

otherwise f is a partial function

RELATIONS

$$R = \{(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots\}$$

$$x_i R y_i$$

e. g. if $R = '>'$: $2 > 1, 3 > 2, 3 > 1$

In relations x_i can be repeated

Equivalence Relations

- Reflexive: $x R x$
- Symmetric: $x R y \longrightarrow y R x$
- Transitive: $x R y$ and $y R z \longrightarrow x R z$

Example: $R = '='$

- $x = x$
- $x = y \longrightarrow y = x$
- $x = y$ and $y = z \longrightarrow x = z$

Equivalence Classes

For equivalence relation R

equivalence class of $x = \{y : x R y\}$

Example:

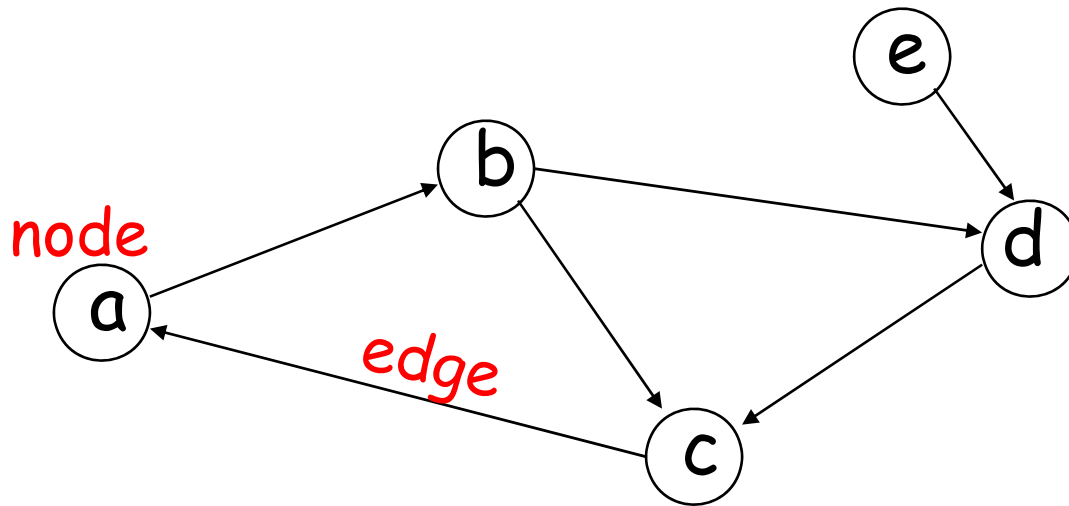
$$R = \{ (1, 1), (2, 2), (1, 2), (2, 1), \\ (3, 3), (4, 4), (3, 4), (4, 3) \}$$

Equivalence class of 1 = $\{1, 2\}$

Equivalence class of 3 = $\{3, 4\}$

GRAPHS

A directed graph



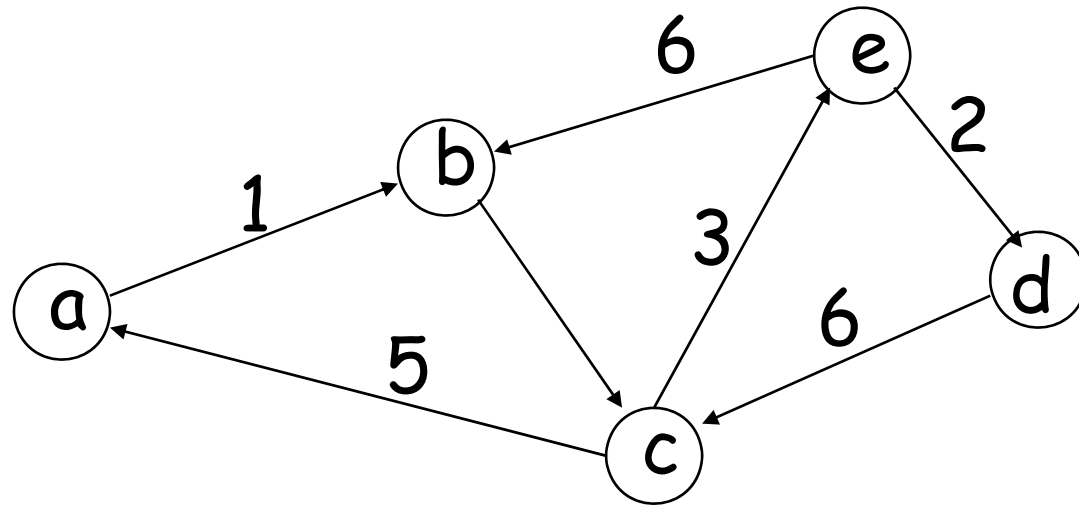
- Nodes (Vertices)

$$V = \{ a, b, c, d, e \}$$

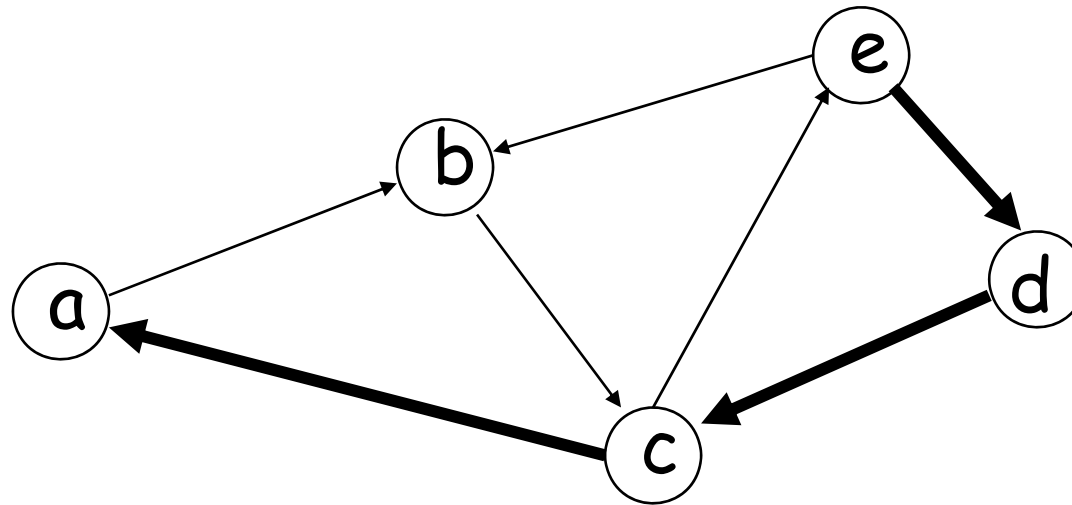
- Edges

$$E = \{ (a, b), (b, c), (c, a), (b, d), (d, c), (e, d) \}$$

Labeled Graph



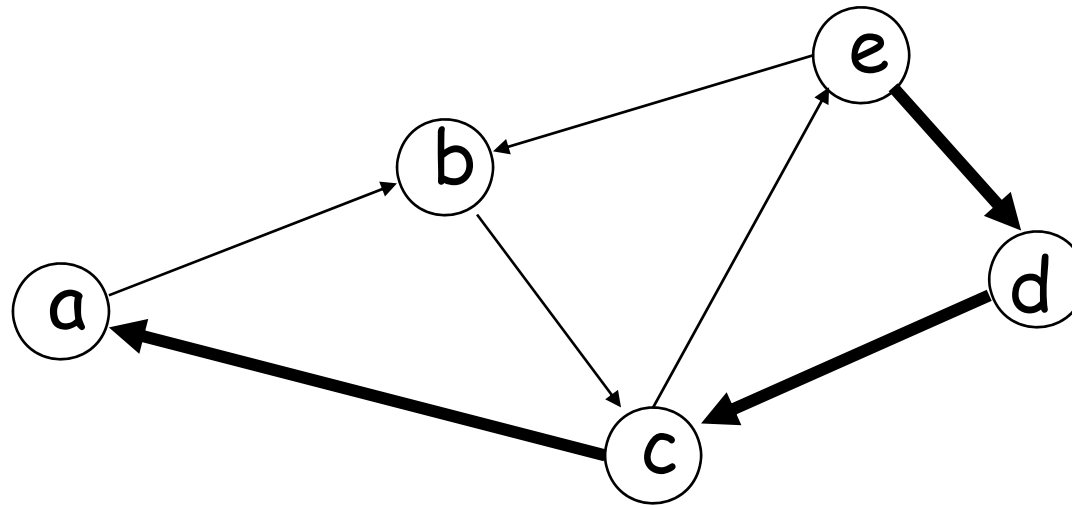
Walk



Walk is a sequence of adjacent edges

$(e, d), (d, c), (c, a)$

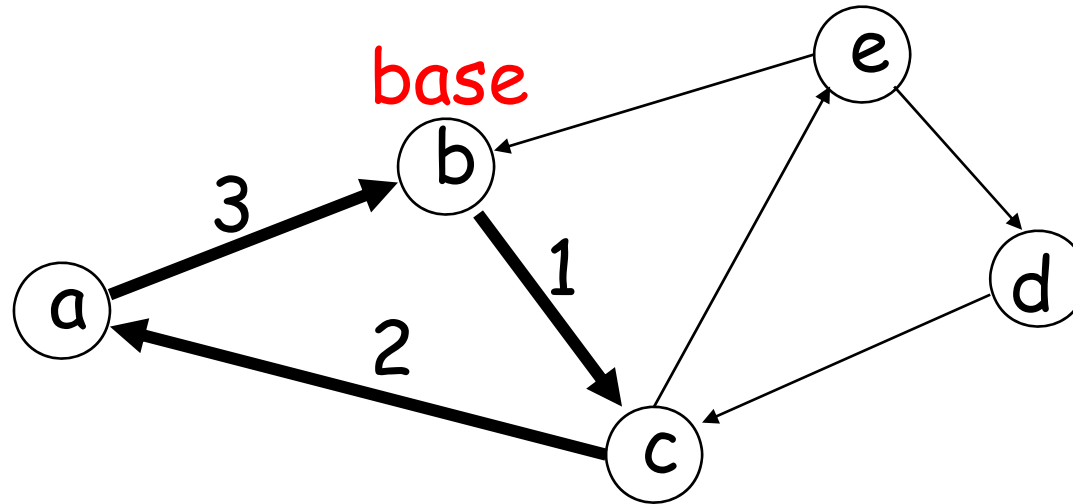
Path



Path is a walk where no edge is repeated

Simple path: no node is repeated

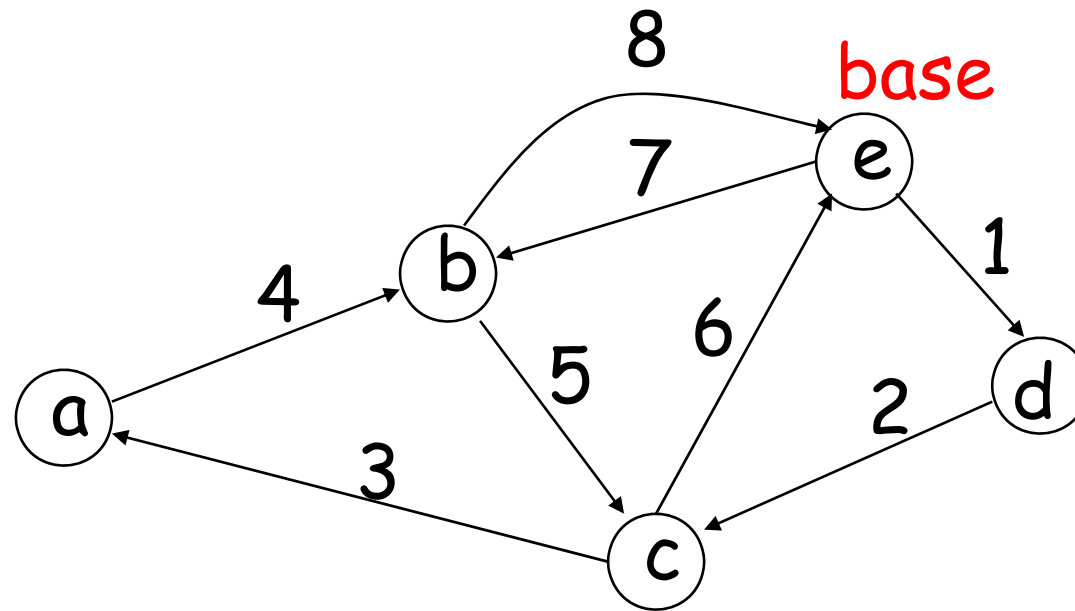
Cycle



Cycle: a walk from a node (base) to itself

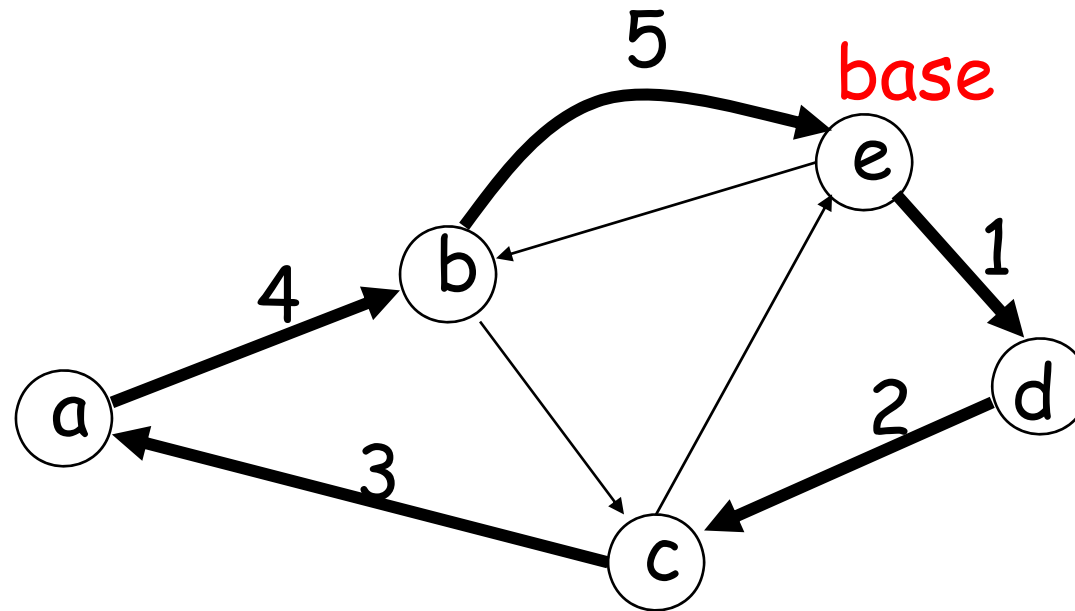
Simple cycle: only the base node is repeated

Euler Tour



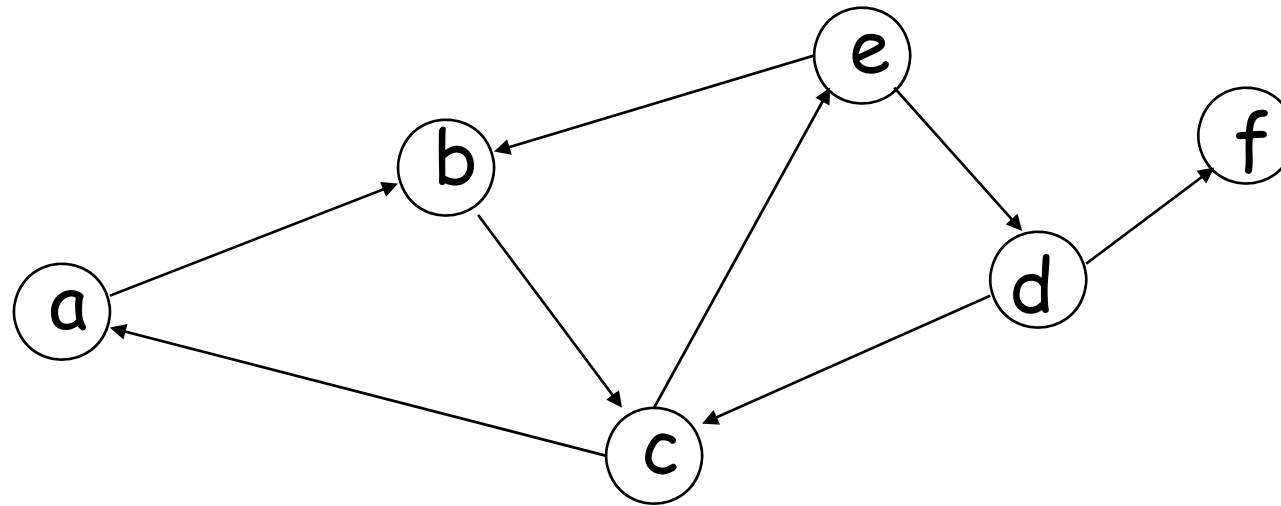
A cycle that contains each edge once

Hamiltonian Cycle

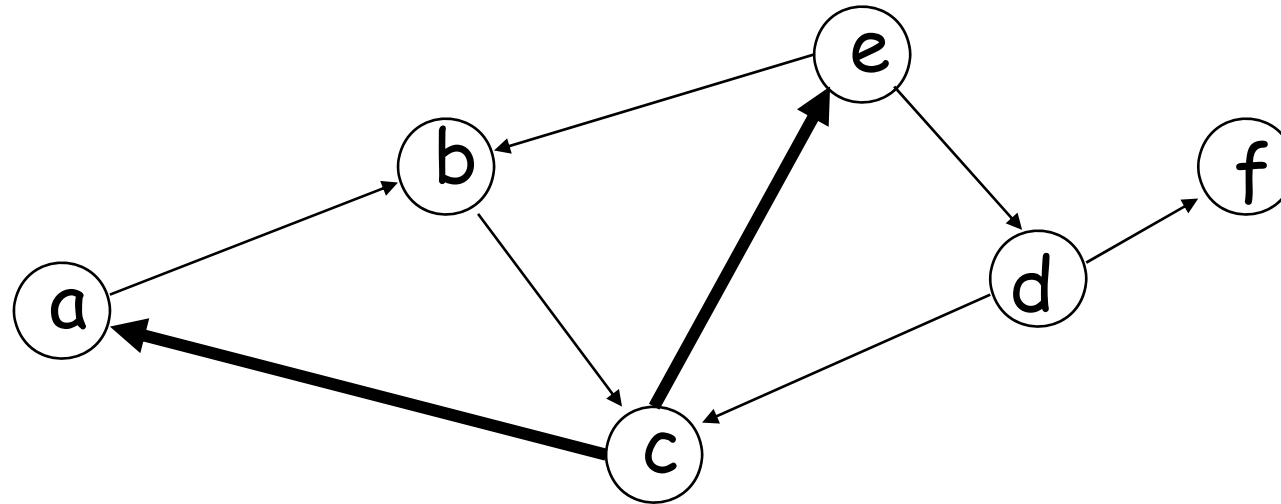


A simple cycle that contains all nodes

Finding All Simple Paths



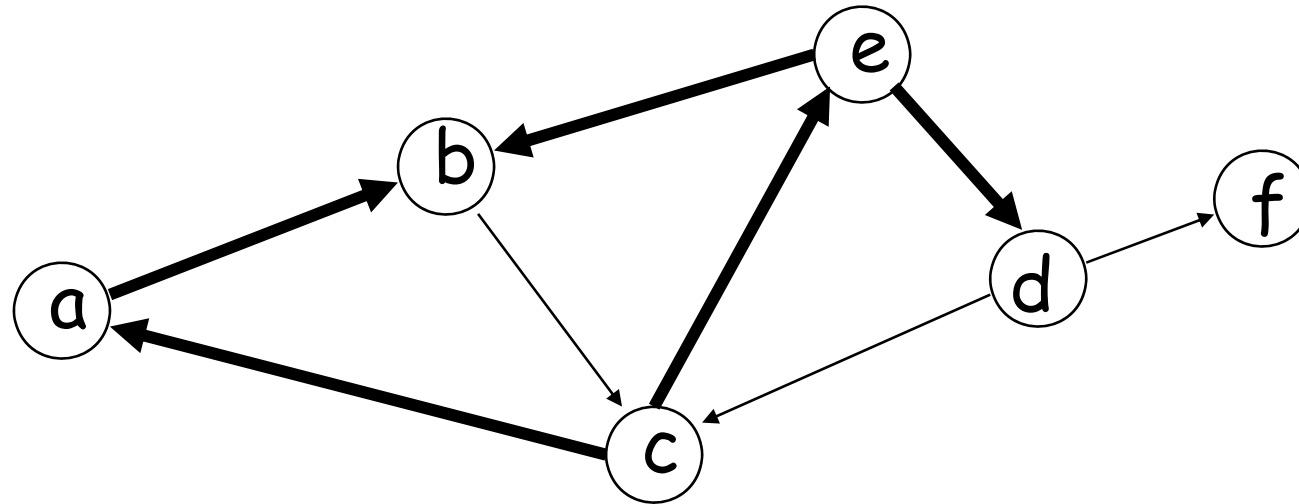
Step 1



(c, a)

(c, e)

Step 2



(c, a)

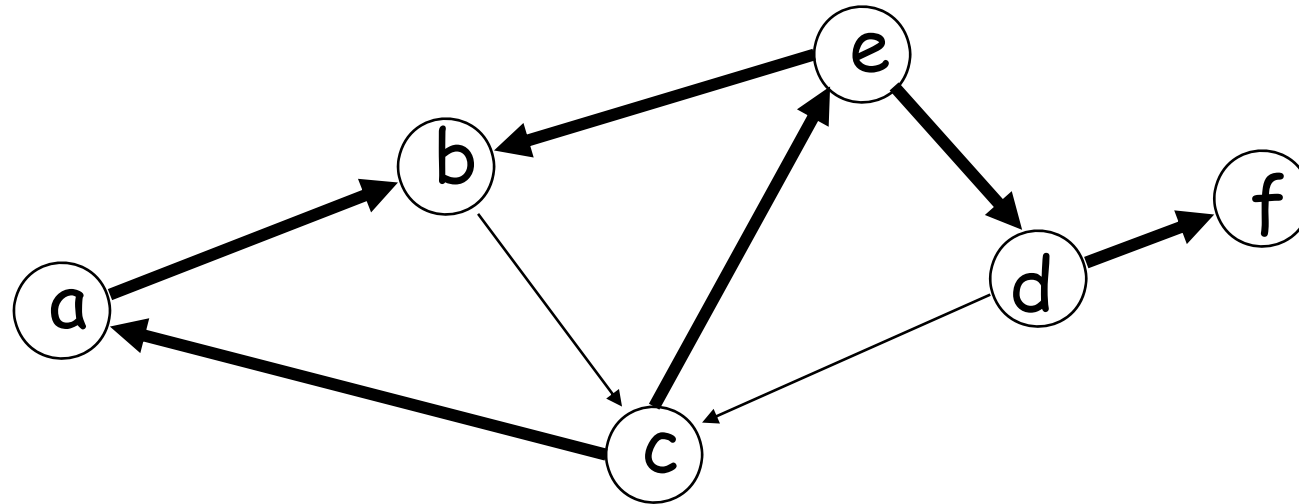
$(c, a), (a, b)$

(c, e)

$(c, e), (e, b)$

$(c, e), (e, d)$

Step 3



(c, a)

(c, a), (a, b)

(c, e)

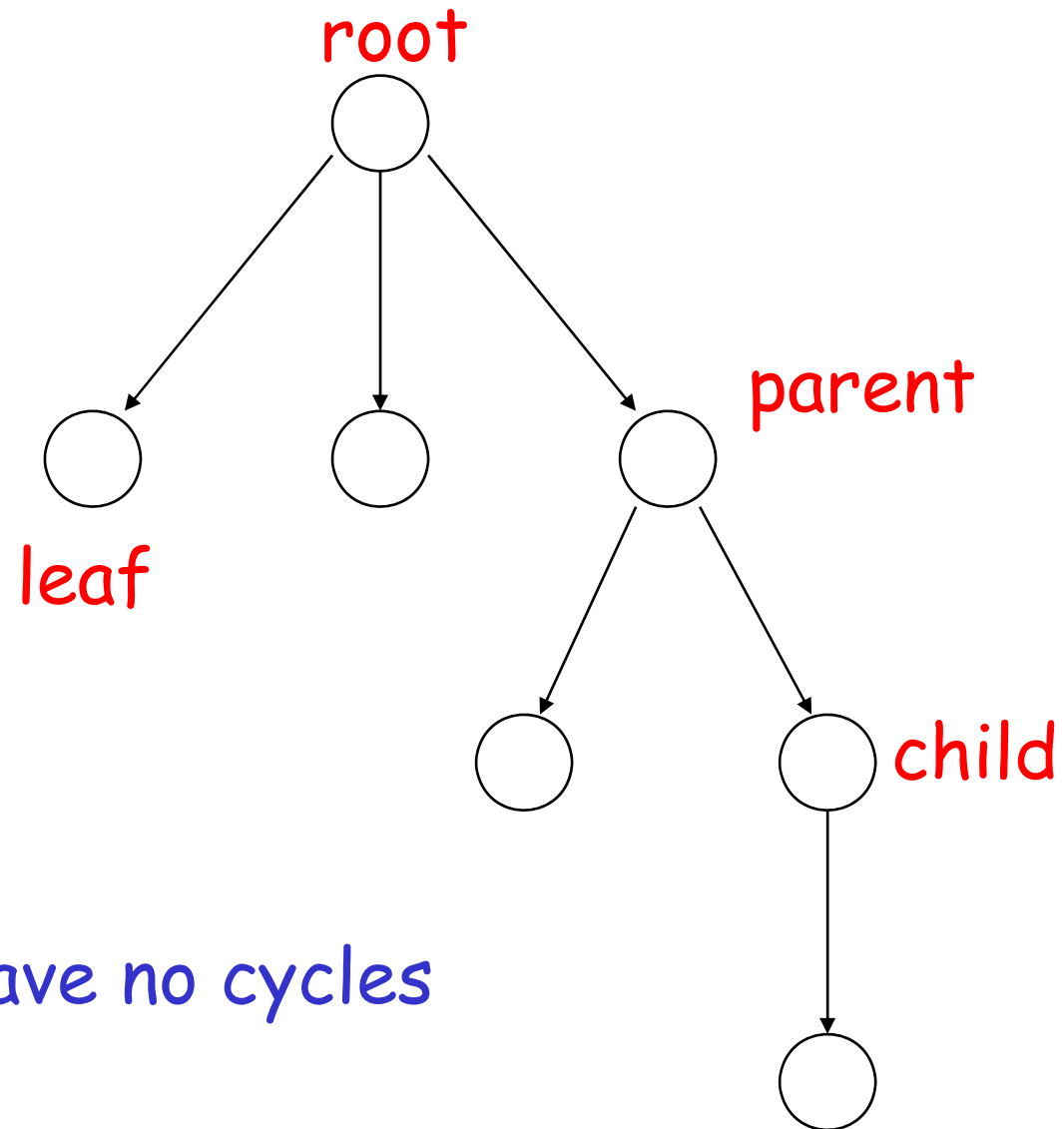
(c, e), (e, b)

(c, e), (e, d)

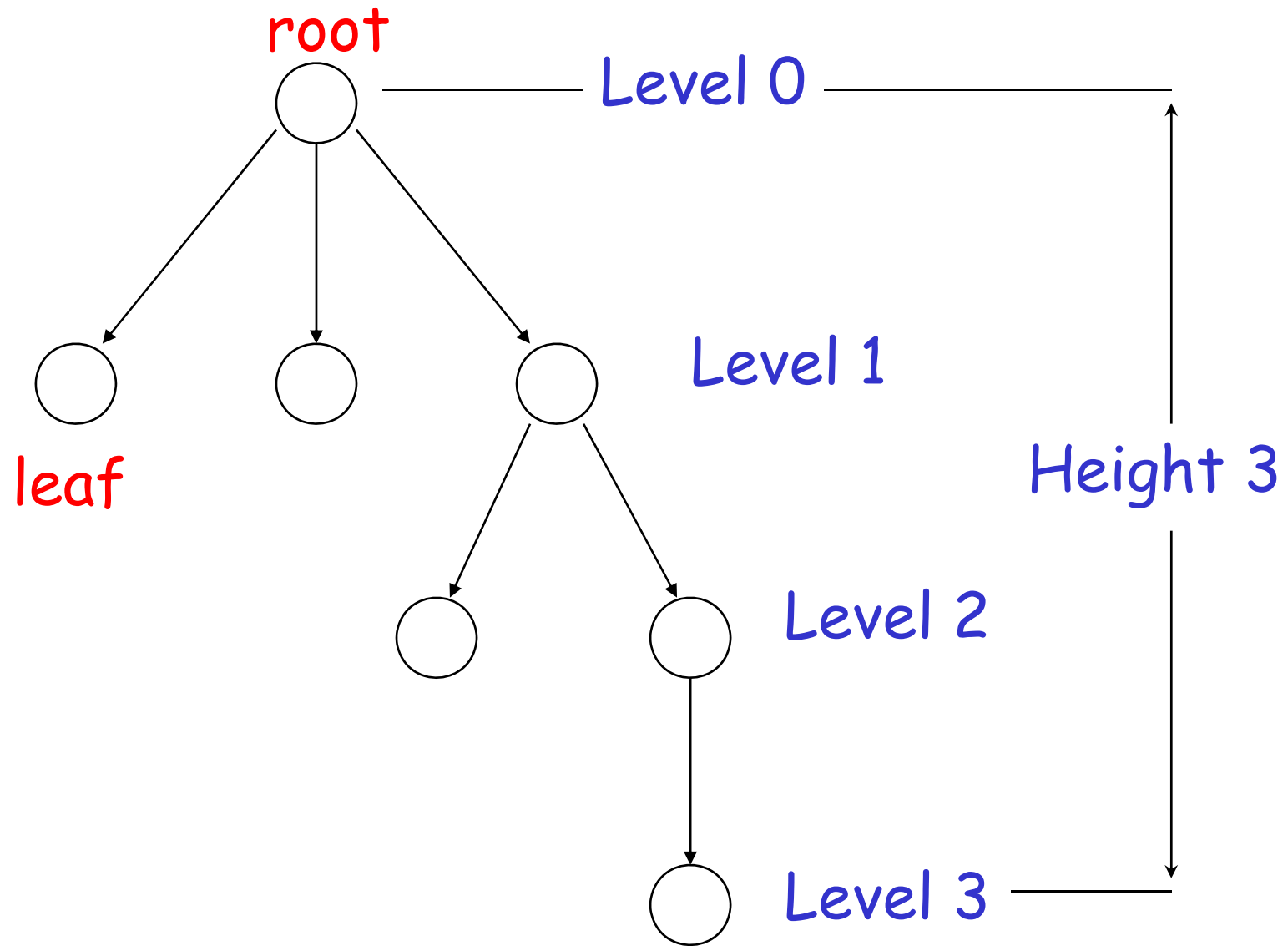
(c, e), (e, d), (d, f)

Repeat the same
for each starting node

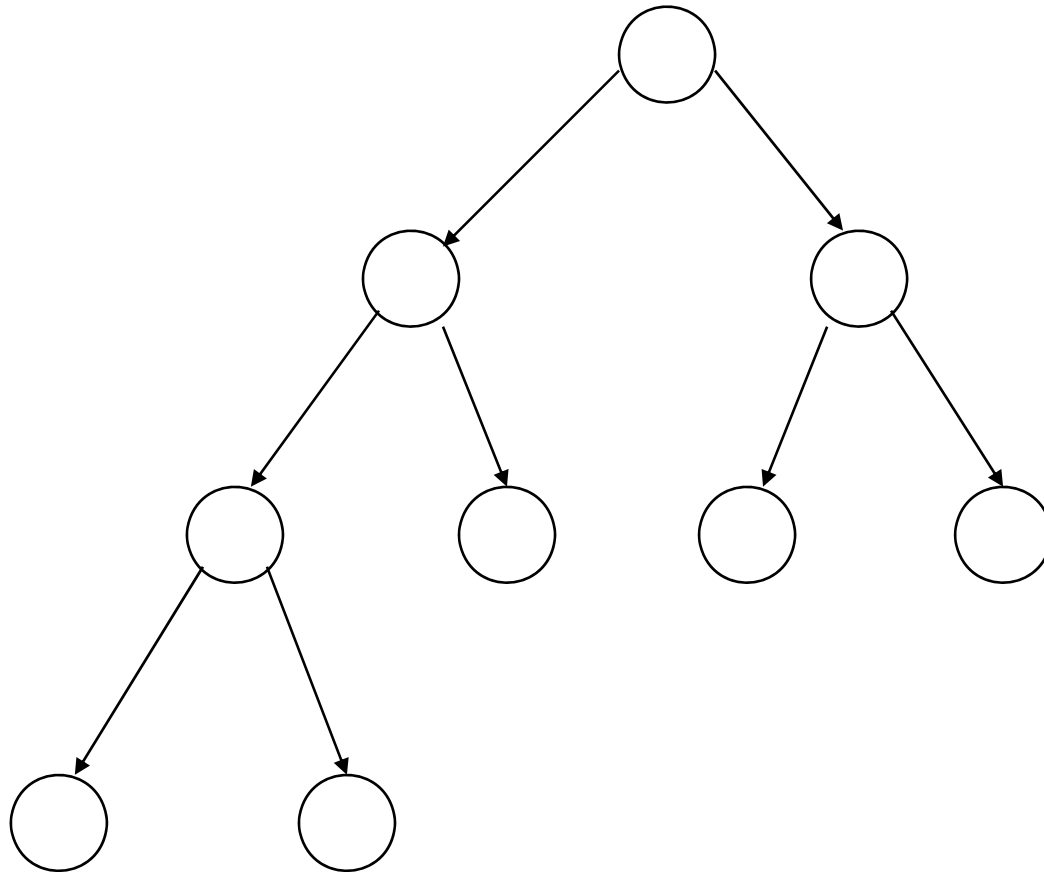
Trees



Trees have no cycles



Binary Trees



PROOF TECHNIQUES

- Proof by induction
- Proof by contradiction

Induction

We have statements P_1, P_2, P_3, \dots

If we know

- for some k that P_1, P_2, \dots, P_k are true
- for any $n \geq k$ that

$$P_1, P_2, \dots, P_n \text{ imply } P_{n+1}$$

Then

Every P_i is true

Proof by Induction

- Inductive basis

Find P_1, P_2, \dots, P_k which are true

- Inductive hypothesis

Let's assume P_1, P_2, \dots, P_n are true,
for any $n \geq k$

- Inductive step

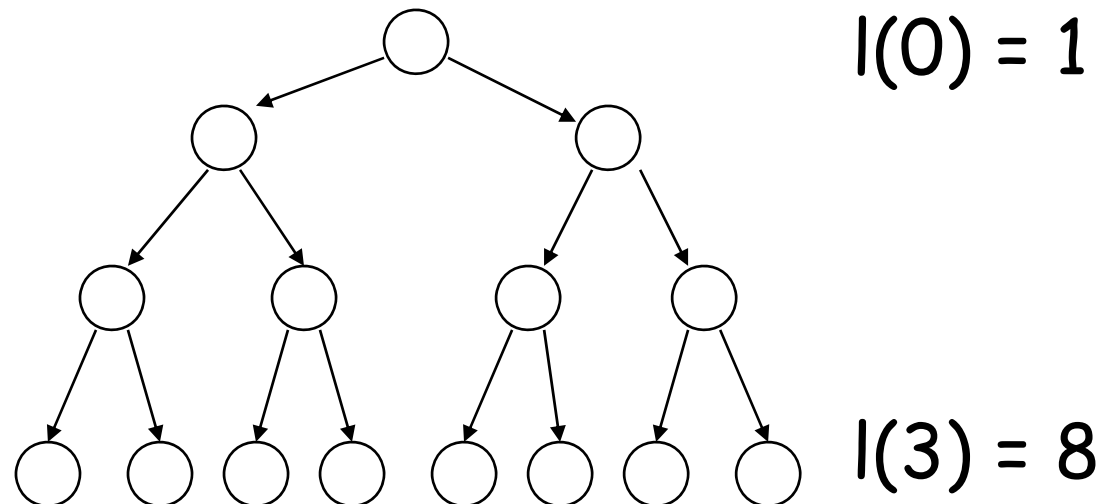
Show that P_{n+1} is true

Example

Theorem: A binary tree of height n
has at most 2^n leaves.

Proof:

let $l(i)$ be the number of leaves at level i



We want to show: $l(i) \leq 2^i$

- Inductive basis

$$l(0) = 1 \quad (\text{the root node})$$

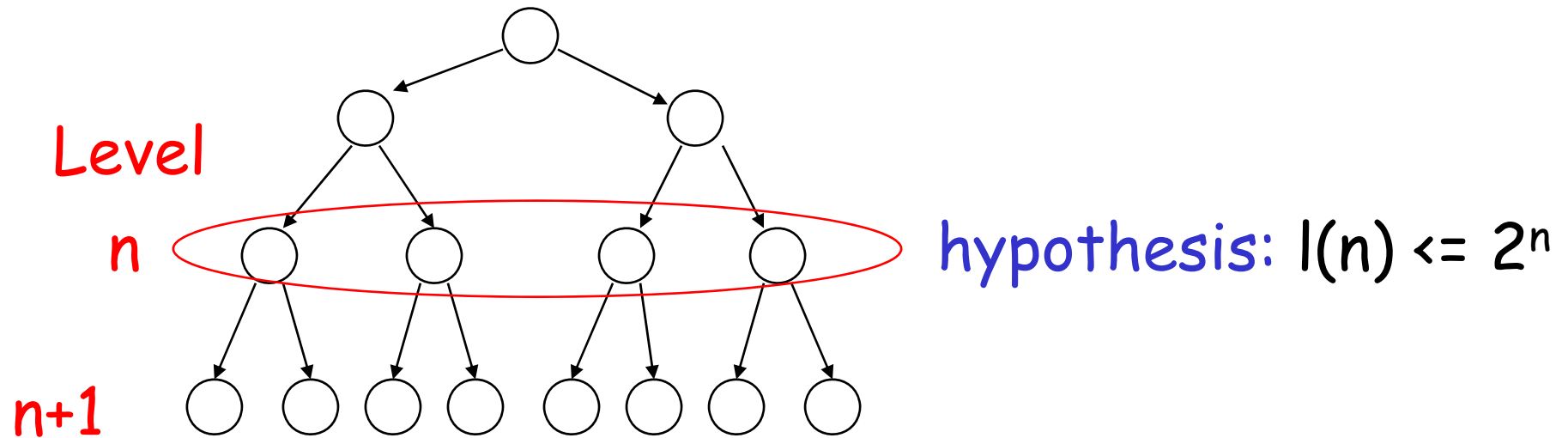
- Inductive hypothesis

Let's assume $l(i) \leq 2^i$ for all $i = 0, 1, \dots, n$

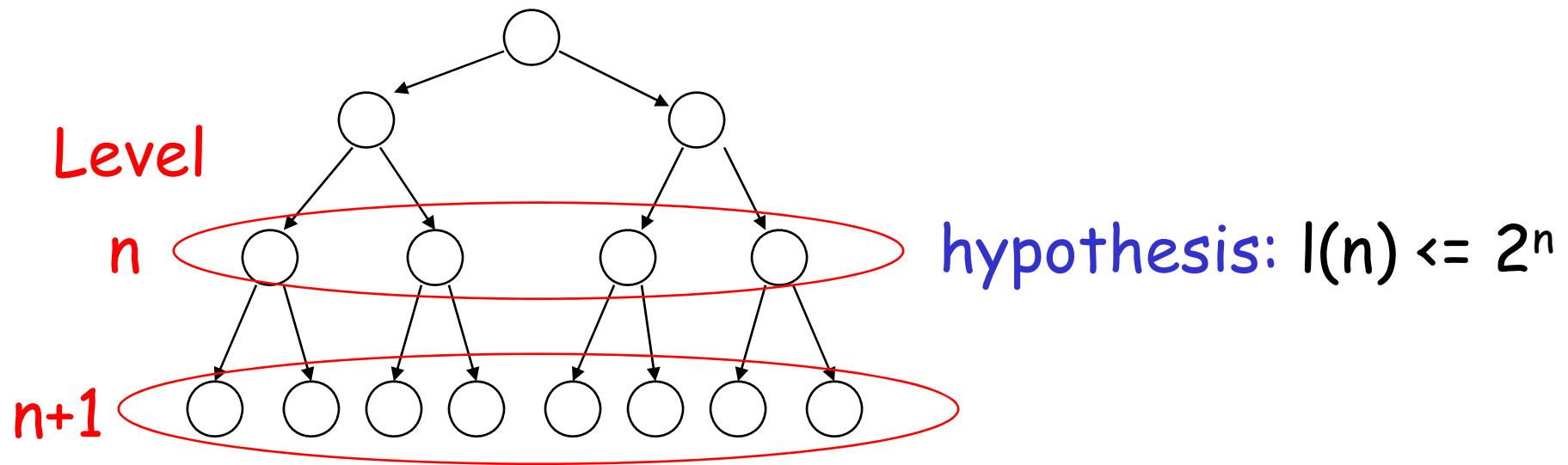
- Induction step

we need to show that $l(n + 1) \leq 2^{n+1}$

Induction Step



Induction Step



$$l(n+1) \leq 2 * l(n) \leq 2 * 2^n = 2^{n+1}$$

Remark

Recursion is another thing

Example of recursive function:

$$f(n) = f(n-1) + f(n-2)$$

$$f(0) = 1, \quad f(1) = 1$$

Proof by Contradiction

We want to prove that a statement P is true

- we assume that P is false
- then we arrive at an incorrect conclusion
- therefore, statement P must be true

Example

Theorem: $\sqrt{2}$ is not rational

Proof:

Assume by contradiction that it is rational

$$\sqrt{2} = n/m$$

n and m have no common factors

We will show that this is impossible

$$\sqrt{2} = n/m \quad \longrightarrow \quad 2 m^2 = n^2$$

Therefore, n^2 is even \longrightarrow n is even
 $n = 2 k$

$$2 m^2 = 4 k^2 \quad \longrightarrow \quad m^2 = 2 k^2 \quad \longrightarrow \quad \begin{array}{l} m \text{ is even} \\ m = 2 p \end{array}$$

Thus, m and n have common factor 2

Contradiction!